

02/26/98

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PTO/SB/05 rev 1 (12/97)

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U.S. PTO

**UTILITY PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	1488.0950001/EKS/SGW
First Named Inventor or Application Identifier	Michael C. Hanna
Title	GABA <sub>A</sub> Receptor Epsilon Subunits
Express Mail Label No.	

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 202311. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)2. ☒ Specification [Total Pages 145 ]  
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Sheets 8 ]4. ☐ Oath or Declaration [Total Sheets        ]

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attachment deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. ☒ Computer Readable Copy
- b. ☒ Paper Copy (identical to computer copy)
- c. ☒ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**8. ☐ Assignment Papers (cover sheet & document(s))9. ☐ 37 CFR 3.73(b) Statement (where there is an assignee) ☐ Power of Attorney10. ☐ English Translation Document (if applicable)11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations12. ☐ Preliminary Amendment13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16. ☒ Other: 37 C.F.R. § 1.136(a)(3) Authorization to Treat a Reply as Incorporating an Extension of Time.☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 08/888,012**18. CORRESPONDENCE ADDRESS**☐ Customer Number  
or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence  
address below

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SIGNATURE	<i>Eric K. Steffe</i>	Date	<i>Feb 26, 1998</i>

Burden Hour Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

02/26/98  
JESUS U.S. PTO

**STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.**

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February 26, 1998

**Box Patent Application**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: New U.S. Non-Provisional Utility Patent Application  
Appl. No. to be assigned; Filed: February 26, 1998  
(CIP of Appl. No. 08/888,012; Filed: July 3, 1997)  
For: **GABA<sub>A</sub> Receptor Epsilon Subunits**  
Inventors: Hanna *et al.*  
Our Ref: 1488.0950001/EKS/SGW

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Utility Patent Application Transmittal (Form PTO/SB/05);
2. Authorization to Treat A Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3) (in duplicate);
3. U.S. Non-Provisional Utility Patent Application entitled:

**GABA<sub>A</sub> Receptor Epsilon Subunits**

and naming as inventors:

Michael C. Hanna, Arlington, Virginia; and  
Ewen F. Kirkness, Olney, Maryland

Assistant Commissioner for Patents  
February 26, 1998  
Page 2

the application consisting of:

a. A specification containing:

- (i) 136 pages of description prior to the claims;
- (ii) 35 pages of Sequence Listing;
- (iii) 8 pages of claims (claims 1-26);
- (iv) 8 pages of drawings (Figures 1, 2, 3, 4, 5A-5C, 6A-6D, 7, and 8); and
- (v) 1 page abstract;

4. Computer readable copy of the Sequence Listing; and

5. Two return postcards.

This application is being filed under 37 C.F.R. § 1.53(b) without Declaration and filing fee.

In accordance with 37 C.F.R. § 1.821(f), the paper copy of the sequence listing and the computer readable copy of the sequence listing submitted herewith in the above application are the same.

It is respectfully requested that, of the two attached post cards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Eric K. Steffe  
Attorney for Applicant  
Registration No. 36,688

jc525U.S. PRO  
09/030832

Atty. Docket: 1488.0950001/EKS/SGW

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